UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	
Attorney Docket No. 42P13833X  (maximum 12 characters)  First Named Inventor Narayan Sundararajan  Title: Method for Sequencing Nucleic Acids by Observing the Uptake of Nucleotides Modifi  Express Mail Label No. EV 410001655US	ed with Bulky Groups
ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.							
1.	<u>_x</u> _	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)					
2.		Applicant Claims Small Entity Status. (37 CFR 1.27)					
3.	Х	Specification (Total Pages					
4.	<u> </u>	Drawings(s) (35 USC 113) (Total Sheets 11)					
5.		Oath or Declaration (Total Pages)					
		a Newly Executed (Original or Copy)					
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 18 completed)					
		<ul> <li>i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> </ul>					
		c Unsigned.					
6.		Application Data Sheet. (37 CFR 1.76)					
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
8.	<b>a</b> .	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  Computer Readable Form (CRF)					
	b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or					
L	c	ii paper Statements verifying identity of above copies					

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	ACCOMPANYING APPLICATION PARTS						
<b>9.</b>	Assignm nt Pap rs (cov r sh et & documents(s)) a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)						
	b. Power of Attorney						
11.	English Translation Document (if applicable)						
12. <u>X</u>	a. Information Disclosure Statement (IDS)/PTO-1449 (or PTO/SB/08)						
	b. Copies of IDS Citations						
13.	Preliminary Amendment						
14. <u>X</u>	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
15	Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16	Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
17A	Claim for Foreign Priority						
17B	Other:						
Pursuant to 37 C.F.R. 1.136(a)(3), applicant(s) hereby request and authorize the U.S.  Patent and Trademark Office to (1) treat any concurrent or future reply that requires a petition for extension of time as incorporating a petition for extension of time for the appropriate length of time and (2) charge all required fees, including extension of time fees and fees under 37 C.F.R. 1.16 and 1.17, to Deposit Account No. 02-2666.							
below <u>and in th</u>	TINUING APPLICATION, check appropriate box, and supply the requisite information e first sentence of the specification following the title (e.g., by way of preliminary r in an Application Data Sheet Under 37 C.F.R. 1.76:						
Col Of Prior Appli (which is a c	ntinuation DivisionalX _ Continuation-in-part (CIP) cation No.: 10/153,189 Examiner Group Art Unit ontinuation/ divisional/ CIP of prior application no, ontinuation/ divisional/ CIP of prior application no) (List entire chain of priority)						
Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.							
•	pondence Address						
Custom	ner Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label						
here) _X Corres; NAME	condence Address Below						
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP ADDRESS 12400 Wilshire Boulevard Seventh Floor							
CITY Los Ange							
Name (PRINT/T	YPE): Roberta J. Hanson Registration No.: 51,774						

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**FEE TRANSMITTAL FOR FY 2004** 

## (FY 2004 Begins 10/01/2003) TOTAL AMOUNT OF PAYMENT (\$) 1478.00 mpl t if Kn wn: Application No. Not Yet Assigned Filing Date Concurrently Herewith First Nam d Inv nt r Narayan Sundararajan, et al. Examiner Name Not Yet Assigned Art Unit Not Yet Assigned Attorney Docket No. 42P13833X Applicant claims small entity status. See 37 CFR 1.27. **METHOD OF PAYMENT** (check all that apply) X Check \_\_\_\_\_ Credit Card \_\_\_\_ Money Order \_\_\_\_ Other X Deposit Account Deposit Account Number : 02-2666 Deposit Account Name: X The Director is Authorized to do the following with respect to the above-identified Deposit Account: Charge fee(s) indicated below. Credit any overpayments. Charge any additional fees during the pendency of this application. Any concurrent or future reply that requires a petition for extension of time should be treated as incorporating an appropriate petition for extension of time and all required fees should be charged. Charge fee(s) indicated below except for the filing fee. **FEE CALCULATION** 1. BASIC FILING FEE **Small Entity** Large Entity Fee Fee Fee Fee Fee Paid Code (\$) Code (\$) **Fee Description** 1001 770 2001 Utility application filing fee 385 770.00 170 1002 340 2002 Design application filing fee 1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee 1005 Provisional application filing fee 160 2005 80 SUBTOTAL (1) \$ 770.00 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from **Extra Claims** below **Fee Paid** -20\*\* = 25Total Claims 18.00 = 450.00 45 Independent Claims 6 $-3^{**} = 3$ 86.00 258.00 **Multiple Dependent** \*\*Or number previously paid, if greater; For Reissues, see below. Large Entity **Small Entity** Fee Fee Fee Code (\$) Code (\$) **Fee Description** 1202 Claims in excess of 20 18 2202 9 1201 86 2201 43

Independent claims in excess of 3 1203 Multiple dependent claim, if n t paid 290 2203 145 \*\*Reissu indep nd nt claims over original patent 1204 86 2204 43 1205 \*\*Reissue claims in excess of 20 and ver riginal pat nt 18 2205

SUBTOTAL (2) \$\_708.00

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## **FEE CALCULATION (continued)**

## 3. ADDITIONAL FEES

Large I	Entity	Small E	ntity				
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description	Fee Paid		
1051	130	2051	65	Surcharge - late filing fee or oath	reeralu		
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	<del></del>		
1052	130	1053	130	Non-English specification			
1812	2,520	1812	2,520		<del></del>		
1813		1813		For filing a request for ex parte reexamination	<del></del>		
1804	8,800 920*	1804	8,800 920*	Request for inter parties reexamination			
1805		1805	1,840*	Requesting publication of SIR prior to Examiner action			
1251	1,840* 110	2251	55	Requesting publication of SIR after Examiner action Extension for reply within first month			
1252	420	2252	210				
1252	950	2252 2253	475	Extension for reply within second month			
1				Extension for reply within third month			
1254	1,480	2254	740	Extension for reply within fourth month			
1255	2,010	2255	1,005	Extension for reply within fifth month			
1401	330	2401	165 165	Notice of Appeal	***************************************		
1402	330	2402	165	Filing a brief in support of an appeal			
1403	290	2403	145	Request for oral hearing			
1451	1,510	1451	1,510	Petition to institute a public use proceeding	<del></del>		
1452	110	2452	55 005	Petition to revive – unavoidable			
1453	1,330	2453	665	Petition to revive - unintentional			
1501	1,330	2501	665	Utility issue fee (or reissue)			
1502	480	2502	240	Design issue fee	<del></del>		
1503	640	2503	320	Plant issue fee			
1460	130	1460	130	Petitions to the Commissioner			
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt	<del></del>		
8021	40	8021	40	Recording each patent assignment per			
1000				property (times number of properties)			
1809	770	2809	385	For filing a submission after final rejection			
				(see 37 CFR 1.129(a))			
1814	110	2814	55	Statutory Disclaimer			
1810	770	2810	385	For each additional invention to be examined (see 37 CFR 1.129(b))	•		
1801	770	2801	385	Request for Continued Examination (RCE)			
1802	900	1802	900	Request for expedited examination of a design			
				application			
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.			
1505	300	1505	300	Publication fee for republication			
1803	130	1803	130	Request for voluntary publication or republication			
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	)		
1454	1,330	1454	1,330	Acceptance of unintentionally delayed claim for priority	/		
Other 1	ee (specif	y)					
Other fee (specify)							
	- •						
*Reduced by Basic Filing Fee Paid							
SUBMITTED BY:							
Typed or Printed Name: Roberta J. Hanson							
**	ture: 🗷	0		Date: November 10, 2003	}		
-	R g. Numb r: 51,774 T leph ne Number: (303) 740-1980						
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